



Attorney Docket No. 50325-0076

2131
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sunil K. Srivastava

Serial No.: 09/408,420

Filed on: September 29, 1999

) Confirmation No.: 4033

) Examiner: NYA

) Group Art Unit No.: 2131

For: METHOD FOR OVERCOMING THE SINGLE POINT OF FAILURE OF THE
CENTRAL GROUP CONTROLLER IN A BINARY TREE GROUP KEY EXCHANGE
APPROACH

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

_____ 37 C.F.R. §1.97(b).

X 37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

_____ A statement pursuant to 37 C.F.R. §1.97(e)

_____ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

_____ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

_____ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

_____ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

_____ A statement pursuant to 37 C.F.R. §1.97(e)

_____ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

_____ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

 X A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

_____ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: April 19, 2004



Christopher J. Palermo
Reg. No. 42,056

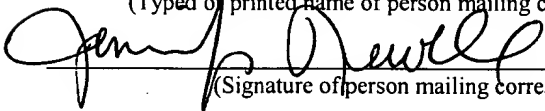
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

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Jennifer Newell

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(Signature of person mailing correspondence)

INFORMATION DISCLOSURE CITATION ON AN APPLICATION (PTO-1449)	ATTY. DOCKET NO. 50325-0076	SERIAL NO. 09/408,420
	APPLICANT: Sunil K. Srivastava	
	FILING DATE: September 29, 1999	GROUP: 2131

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
		4,531,020		Wechselberger et al.	07-23-1985	
		4,881,263		Herbison et al.	11-14-1989	
		5,309,516		Takaragi et al.	05-03-1994	
		5,351,295		Perlman et al.	09-27-1994	
		5,588,061		Ganesan et al.	12-24-1996	
		5,663,896		Aucsmith	09-02-1997	
		5,748,736		Mittra	05-05-1998	
		5,987,131		Clapp	11-16-1999	
		6,009,274		Fletcher et al.	12-28-1999	
		6,049,878		Caronni et al.	04-11-2000	
		6,119,228		Angelo et al.	09-12-2000	
		6,151,395		Harkins	11-21-2000	
		6,216,231	B1	Stubblebine	04-10-2001	
		6,240,188	B1	Dondeti et al.	05-29-2001	
		6,256,733	B1	Thakkar et al.	07-03-2001	
		6,263,435	B1	Dondeti et al.	07-17-2001	

FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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SERIAL NO.
09/408,420

GROUP:
2131

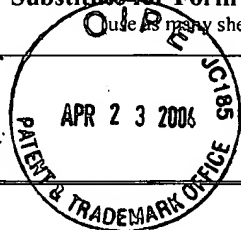
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Page 2 of 3

Substitute for Form 1449A/PTO (Modified) (Include as many sheets as necessary)	Attorney Docket No.: 50325-0076	Application Number: 09/408,420
	First Named Inventor: Sunil K. Srivastava	
	Filing Date: September 29, 1999	



OTHER ART – NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
		Robert Orfali et al., "The Essential Distributed Objects Survival Guide," 1996, pp. 448-449.	
		David Chappell, "Understanding Microsoft Windows 2000 Distributed Services," 2000, pp. 319-324.	
		Robert Orfali et al., "Client/Server Survival Guide Third Edition," 1999, p. 488.	
		Koblitz, Neal, "A Course in Number Theory and Cryptography, 1994, Springer-Verlag New York Inc. 2nd Edition, 8 pages.	

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